

Hong Kong Market Fee and Commission Schedule

Settlement Date: T+2

SERVICE	HANDLING CHARGE	
(A) Trade-related services		
1. Brokerage Commission (Offline)	0.25% (Min. HKD100.00) - Please contact your AE for details	
2. Brokerage Commission (Online)	0.15% (Min. HKD50.00) - Please contact your AE for details	
3. Transaction Levy	0.0027% of transaction amount (rounded up to the nearest HK cent)	
4. FRC Transaction Levy	0.00015% of transaction amount (rounded up to the nearest HK cent)	
5. Investor Compensation Levy	Nil	
6. Trading Fee	0.005% of transaction amount (rounded up to the nearest HK cent) (0.00565% effective on 1 Jan 23)	
7. Trading Tariff	Nil	
8. Stamp Duty	0.13% (rounded up to the nearest HK dollar)	
9. IPO Application Handling Charges	HKD100.00	
10. Pre-IPO Trading Commission	0.05% (Settlement Date: T+3)	
(B) Information Services		
1. Online Real-Time Price Quote (Mobile)	<ul style="list-style-type: none"> • ETNET IQ Mobile Streaming (HKD84.00) 	
2. Online Real-Time Price Quote	<ul style="list-style-type: none"> • ETNET IQ Streaming (HKD338.00) • ETNET IQ Streaming with FHSI Quote (HKD368.00) • ETNET IQ Streaming for PRC Client (HKD268.00) Monthly rate will be charged in full regardless of commencement date of service and will be debited from client's account in the first week of each month	Term of Waiver: Monthly fee charged will be credited to client's account at the first week of next month if client online monthly commission exceeds or reaches HKD1,000.00 for internet trades
(C) Scrip Handling and Settlement-related Services		
1. CCASS Stock Settlement Fee	Nil	
2. Money Settlement Fee	Nil	
3. Physical Scrip Deposit Fee	HKD5.00 per certificate/for each transfer deed	
4. Physical Scrip Withdrawal Fee	HKD5.00 per board lot (Min. HKD100.00 per stock)	
5. Deposit Transaction Charge	Nil	
6. Settlement Instruction Fee (Delivery SI only)	0.01% of the stock value based on the last closing price (Min. HKD200.00 per stock)	
7. Investor Settlement Instruction Fee (Delivery SI only)	0.01% of the stock value based on the last closing price (Min. HKD50.00 per stock)	
8. Compulsory Share Buy-back Fee (Default Fee)	0.50% on market value of settlement date (Max. HKD100,000.00)	
9. Multi-counter Transfer Instruction Fee	HKD5.00 for each effected multi-counter transfer instruction	
10. Proxy and Voting Request	HKD100.00 per stock	
(D) Account Maintenance		
1. Custody Fee	Nil	
2. Account Balance Confirmation Letter Fee	HKD200.00	
3. Dormant Account Fee	Nil	
4. Reference Letter	HKD200.00	
(E) Nominee Services and Corporate Actions		
1. Scrip Fee for Book Close	HKD2.00 per board lot	
2. Collection Fee of Cash Dividend	0.3% of net dividend amount (Min. HKD30.00)	
3. Collection Fee of Scrip Dividend	HKD30.00 per stock	
4. Collection Fee of Bonus Issue	HKD30.00 per stock	
5. Corporate Action Fee for Rights/Warrants Exercise	HKD0.80 per board lot	
6. Rights Issue Entitlement Charge	Nil	
7. Fee for Rights/Excess Rights Exercise	HKD100.00 per transaction	
8. Fee for Excess Rights Application	HKD100.00 per transaction	
9. Fee for Warrants Exercise	HKD100.00 per transaction	
10. Fee for Tendering Shares under a Takeover Bid	HKD100.00 per transaction	
11. Fee for Handling Share Consolidation/Split	Nil	
(F) Financing and Other Services		
1. Margin Account Financing Charge	Prime Rate+3.00% p.a.	
2. Securities Subscription Handling Charge	Subscribe through our nominee: HKD100.00 per transaction Subscribe in client's own name with our endorsement: Free	
3. Cash Account Overdue Interest	Prime Rate+3.00% p.a.	
4.1 Banking Service Charges (Payment to Local Bank)	Cheque: Nil Others: HKD55.00	
4.2 Banking Service Charges (Payment to Overseas Bank)	Handling fee charged by MIB depends on market + out of pocket charges by banks	
5. Fee for Claiming Unclaimed Benefit Entitlements	HKD500.00 per transaction	
6. Service Fee for Retrieving Monthly Statement	The latest statement: Nil Statement of over 1 month: HKD50.00 per statement	
7. Fee for c.c. contract notes/statements to client's employer	Nil	
8. Audit Confirmation Charge	HKD300.00/fiscal year	

Note: All fees and charges are subject to revision without prior notice.

香港市場收費及佣金

交收：T+2 交收期限

服務	辦理費用
(A) 證券交易服務	
1. 經紀佣金 (電話交易)	0.25% (最低收費: 100.00 港元) - 詳情請與經紀聯絡
2. 經紀佣金 (網上交易)	0.15% (最低收費: 50.00 港元) - 詳情請與經紀聯絡
3. 交易徵費	交易額的 0.0027% (計至最接近的港仙位數)
4. 財匯局交易徵費	交易額的 0.00015% (計至最接近的港仙位數)
5. 投資者賠償徵費	無
6. 交易費	交易額的 0.005% (計至最接近的港仙位數) (0.00565% 二零二三年一月一日起生效)
7. 交易系統使用費	無
8. 印花稅	0.13% (計至最接近的港元位數)
9. 認購新股手續費	100.00 港元
10. 新股上市前交易佣金	0.05% (交收: T+3 交收期限)
(B) 市場資訊服務	
1. 網上即時報價 (手機)	<ul style="list-style-type: none"> 經濟通手機串流版 (84.00 港元)
2. 網上即時報價	<ul style="list-style-type: none"> 經濟通串流版 (338.00 港元) 經濟通串流版附期貨報價 (368.00 港元) 經濟通串流版國內版 (268.00 港元) 豁免條件: 如客戶當月之網上交易佣金達到或超過 1,000.00 港元, 則該月之月費會於下月退回至帳戶內 使用不足一個月亦作一個月計算, 並將於當月於客戶之帳戶中扣除
(C) 處理實物股票及交收的服務	
1. 中央結算系統的股份交收費	無
2. 款項交收費	無
3. 存入實物股票費	每張股票/每張轉手紙 5.00 港元
4. 提取實物股票費	每手 5.00 港元 (最低收費: 每隻股票 100.00 港元)
5. 存倉費	無
6. 交收指示費 (只適用於交付的交收指示)	按前一日收市價計算 0.01% 市值 (最低收費: 每隻股票 200.00 港元)
7. 投資者交收指示費 (只適用於交付的交收指示)	按前一日收市價計算 0.01% 市值 (最低收費: 每隻股票 50.00 港元)
8. 強制性補購股份費	交收日市值的 0.50% (最高收費: 100,000.00 港元)
9. 多櫃台轉換指示服務費	每項已執行的多櫃台轉換指示收取 5.00 港元
10. 代履行投票服務	每隻股票 100.00 港元
(D) 帳戶服務	
1. 託管費	無
2. 帳戶結餘確認信收費	200.00 港元
3. 不動戶口服務費	無
4. 發出證券戶參考證明書	200.00 港元
(E) 代理人服務及企業行動	
1. 登記過戶費	每手 2.00 港元
2. 代收現金股息費	股息金額之 0.3% (最低收費: 30.00 港元)
3. 代收股票股息費	每股 30.00 港元
4. 代收紅股費	每股 30.00 港元
5. 行使供股權/認股證的企業行動費	每手 0.80 港元
6. 代收供股權收費	無
7. 代客行使供股/額外供股權費	每宗交易 100.00 港元
8. 申請額外供股權費	每宗交易 100.00 港元
9. 代客行使認股證費	每宗交易 100.00 港元
10. 在收購要約下提交股份費	每宗交易 100.00 港元
11. 辦理股份合併/分拆費	無
(F) 借貸及其他服務	
1. 孖展帳戶借貸費用	優惠利率+3.00% p.a.
2. 證券認購手續費	以本公司代理人名義辦理: 每宗交易 100.00 港元 以客戶本身名義經由本公司背書: 免費
3. 現金帳戶過期利息	優惠利率+3.00% p.a.
4.1 銀行服務收費 (轉帳至本地銀行)	支票: 無 其他: 55.00 港元
4.2 銀行服務收費 (轉帳至海外銀行)	金英根據不同地區收取之手續費+銀行實報支出
5. 代申請索償未領取權益費	每宗交易 500.00 港元
6. 索取月結單服務費	最近之結單: 免費 一個月以前之結單: 每份結單 50.00 港元
7. 抄送成交單據 / 結單至客戶僱主費	無
8. 核數確認書收費	每財政年度 300.00 港元

註: 以上佣金及費用如有任何更改, 恕不另行通知。如中、英文版本有任何分歧, 概以英文版本為準。