

Fees and Charges

on services concerning securities traded on The Shanghai-Hong Kong Stock Connect

(Settlement Date: T+1)

SERVICE

HANDLING CHARGE

(A) Trade-related services

1. Brokerage Commission (Offline)	0.25% (Min CNY\$100)
2. Brokerage Commission (Online)	0.15% (Min CNY\$50)
3. Handling Fee	*Please contact your AE for detail.
4. Securities Management Fee	0.00487% of the consideration of a transaction per side (0.004% for ETF)
5. Transfer Fee	0.00200% of the consideration of a transaction per side (waived for ETF)
	0.001% on consideration of each transaction per side payable under ChinaClear's SH-HK Stock Connect Rules (waived for ETF)
	0.002% on consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing services by HKSCC
6. Stamp Duty	0.1% of the consideration of a transaction on the seller (waived for ETF)
(All charges rounded up to the nearest cent)	

(B) Scrip Handling and Settlement-related Services

1. CCASS Stock Settlement Fee	Nil
2. Money Settlement Fee	Nil
3. Deposit Transaction Charge	Nil
4. Settlement Instruction Fee	0.01% of the stock value based on the last closing price (min. CNY\$200.00 per stock for delivery SI only)
5. Investor Settlement Instruction Fee	0.01% of the stock value based on the last closing price (min. CNY\$50.00 per stock for delivery SI only)
6. Compulsory Share Buy-back Fee (Default Fee)	1% on market value of settlement date (maximum HK\$200,000.00)
7. Multi-counter Transfer Instruction Fee	HK\$5.00 for each effected Multi-counter Transfer Instruction

(C) Account Maintenance

1. Custody Fee	Nil
2. Stock Balance or Account Confirmation Fee	Nil
3. Dormant Account Fee	Nil

(D) Nominee Services and Corporate Actions

1. Collection Fee of Cash Dividend	0.3% of net dividend
2. Collection Fee of Scrip Dividend	CNY\$30.00 per stock
3. Collection Fee of Bonus Issue	CNY\$30.00 per stock
4. Handling Fee for Collection of Cash Dividend	Min CNY\$30
5. Fee for Tendering Shares under a Takeover Bid	HK\$100.00 per transaction
6. Fee for Handling Share Consolidation / Split	Nil
7. Portfolio Fee	[Closing price of SSE Securities of the day x number of shares] converted into Hong Kong Dollar Equivalent x 0.008% /365
8. Proxy & Voting Request	HK\$100.00 per stock per request

Note: All fees and charges are subject to revision without prior notice.

費用及收費

適用於在滬股通證券交易有關的服務

(交收：T+1交收期限)

服務辦理費用

(A) 證券交易服務

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|----------------|--|
| 1. 經紀佣金 (電話交易) | 0.25% (最低收費人民幣100元) |
| 2. 經紀佣金 (網上交易) | 0.15% (最低收費人民幣50元)
<i>*詳情請與經紀聯絡</i> |
| 3. 經手費 | 雙邊成交金額0.00487% (ETF 0.004%) |
| 4. 證管費 | 雙邊成交金額0.002% (ETF 豁免) |
| 5. 過戶費 | 0.001%根據中國結算的滬港通規則支付 (ETF 豁免)；及0.002%根據中央結算系統一般規則支付。 |
| 6. 交易印花稅 | 只收賣方成交金額0.1% (ETF 豁免)
收費計至最接近的仙位數 (最高收費50美元) |

(B) 處理實物股票及交收的服務

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|-----------------|--|
| 1. 中央結算系統的股份交收費 | 無 |
| 2. 散項交收費 | 無 |
| 3. 存倉費 | 無 |
| 4. 交收指示費 | 按前一日收市價計算0.01%市值； 每隻股票最低收費人民幣200元(只適用於交付的交收指示) |
| 5. 投資者交收指示費 | 按前一日收市價計算0.01%市值； 每隻股票最低收費人民幣50元(只適用於交付的交收指示) |
| 6. 強制性補購股份費 | 交收日市值的1% (最高收費200,000.00港元) |
| 7. 多櫃台轉換指示服務費 | 每項已執行的多櫃台轉換指示收取5.00港元 |

(C) 帳戶服務

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|-----------------|---|
| 1. 託管費 | 無 |
| 2. 證券結餘或帳戶證明書收費 | 無 |
| 3. 不動戶口服務費 | 無 |

(D) 代理人服務及企業行動

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|-----------------|---------------------------|
| 1. 代收現金股息費 | 股息金額之0.3% |
| 2. 代收股票股息費 | 每隻股票30.00人民幣 |
| 3. 代收紅股費 | 每隻股票30.00人民幣 |
| 4. 辦理代收現金股息費 | 最低收費人民幣30元 |
| 5. 在收購要約下提交股份費 | 每宗交易100.00港元 |
| 6. 辦理股份合併 / 分拆費 | 無 |
| 7. 組合費 | 收市日市值之港幣等值 X 0.008% / 365 |
| 8. 代履行投票服務 | 每隻股票100.00港元 |

註：如中、英文版本有任何分歧，概以英文版本為準。

二零二二年八月廿三日

馬銀證券(香港)有限公司