

Fees and Charges

on services concerning securities traded on The Shenzhen-Hong Kong Stock Connect

(Settlement Date: T+1)

<u>SERVICE</u>	<u>HANDLING CHARGE</u>
(A) Trade-related services	
1. Brokerage Commission (Offline)	0.25% (Min CNY\$100)
2. Brokerage Commission (Online)	0.15% (Min CNY\$50) <i>*Please contact your AE for detail.</i>
3. Handling Fee	0.00487% of the consideration of a transaction per side
4. Securities Management Fee	0.00200% of the consideration of a transaction per side
5. Transfer Fee	0.001% on consideration of each transaction per side payable under ChinaClear's SZ-HK Stock Connect Rules 0.002% on consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing services by HKSCC
6. Stamp Duty	0.1% of the consideration of a transaction on the seller
(B) Nominee Services and Corporate Actions	
1. Stock Settlement Instruction (SI)	0.01% market value per stock per transaction (Min CNY\$200)
2. Compulsory Share Buy-back Fee (Default Fee)	1% on market value of settlement date (maximum HK\$200,000.00)
(C) Nominee Services and Corporate Actions	
1. Collection Fee of Cash Dividend	0.3% of net dividend
2. Collection Fee of Scrip Dividend	CNY\$30.00 per stock
3. Collection Fee of Bonus Issue	CNY\$30.00 per stock
4. Handling Fee for Collection of Cash Dividend	Min CNY\$30.00
5. Rights Issue Entitlement Charge	NIL
6. Fee for Rights / Excess Rights Exercise	CNY\$100.00 per transaction
7. Fee for Excess Rights Application	CNY \$100.00 per transaction
8. Fee for Warrants Exercise	CNY \$100.00 per transaction
9. Fee for Tendering Shares under a Takeover Bid	CNY \$100.00 per transaction
10. Fee for Handling Share Consolidation / Split	NIL
11. Portfolio Fee	[Closing price of SSE Securities of the day x number of shares] converted into Hong Kong Dollar Equivalent x 0.008% / 365
12. Proxy & Voting Request	CNY \$100.00 per stock per request

Note: All fees and charges are subject to revision without prior notice.

費用及收費

適用於在深港通證券交易有關的服務

(交收：T+1交收期限)

服務	辦理費用
(A) 證券交易服務	
1. 經紀佣金 (電話交易)	0.25% (最低收費人民幣100元)
2. 經紀佣金 (網上交易)	0.15% (最低收費人民幣50元) *詳情請與經紀聯絡
3. 經手費	雙邊成交金額0.00487%
4. 證管費	雙邊成交金額0.002%
5. 過戶費	0.001%根據中國結算的深港通規則支付；及0.002%根據中央結算系統一般規則支付。
6. 交易印花稅	只收賣方成交金額0.1% 收費計至最接近的仙位數
(B) 處理實物股票及交收的服務	
1. 交收指示費	每隻股票每宗交易之0.01%市值 (最低收費人民幣200元)
2. 強制性補購股份費	交收日市值的10% (最高收費200,000.00港元)
(C) 代理人服務及企業行動	
1. 代收現金股息費	股息金額之0.3%
2. 代收股票股息費	每股30.00人民幣
3. 代收紅股費	每股30.00人民幣
4. 辦理代收現金股息費	最低收費人民幣30元
5. 代收供股權收費	無
6. 代客行使供股/額外供股權費	每宗交易每隻股票
7. 申請額外供股權費	每宗交易100.00人民幣
8. 代客行使認股證費	每宗交易100.00人民幣
9. 在收購要約下提交股份費	每宗交易100.00人民幣
10. 辦理股份合併 / 分拆費	無
11. 組合費	收市日市值之港幣等值 X 0.008% / 365
12. 代履行投票服務	每隻股票100.00人民幣

註：如中、英文版本有任何分歧，概以英文版本為準。

二零二二年六月一日

馬銀證券(香港)有限公司