**Fees and Charges**

**on services concerning securities traded on The Shenzhen-Hong Kong Stock Connect**

**(Settlement Date: T+1)**

**SERVICE HANDLING CHARGE**

1. **Trade-related services**

1. Brokerage Commission (Offline) 0.25% (Min CNY$100)

1. Brokerage Commission (Online) 0.15% (Min CNY$50)

\*Please contact your AE for detail.

3. Handling Fee 0.00487% of the consideration of a transaction per side (0.004% for ETF)

4. Securities Management Fee 0.00200% of the consideration of a transaction per side (waived for ETF)

1. Transfer Fee 0.001% on consideration of each transaction per side payable under ChinaClear's SZ-HK Stock

 Connect Rules (waived for ETF)

 0.002% on consideration of each transaction per side payable under the General Rules of CCASS for the

 provision of Stock Connect Northbound Clearing services by HKSCC

6. Stamp Duty 0.1% of the consideration of a transaction on the seller (waived for ETF)

**(B) Nominee Services and Corporate Actions**

1. Stock Settlement Instruction (SI) 0.01% market value per stock per transaction (Min CNY$200)

2. Compulsory Share Buy-back Fee (Default Fee) 1% on market value of settlement date (maximum HK$200,000.00)

**(C) Nominee Services and Corporate Actions**

1. Collection Fee of Cash Dividend 0.3% of net dividend

2. Collection Fee of Scrip Dividend CNY$30.00 per stock

3. Collection Fee of Bonus Issue CNY$30.00 per stock

4. Handling Fee for Collection of Cash Dividend Min CNY$30.00

5. Rights Issue Entitlement Charge NIL

6. Fee for Rights / Excess Rights Exercise CNY$100.00 per transaction

7. Fee for Excess Rights Application CNY $100.00 per transaction

8. Fee for Warrants Exercise CNY $100.00 per transaction

9. Fee for Tendering Shares under a Takeover Bid CNY $100.00 per transaction

10. Fee for Handling Share Consolidation / Split NIL

11. Portfolio Fee [Closing price of SSE Securities of the day x number of shares] converted into Hong Kong Dollar

 Equivalent x 0.008% / 365

12. Proxy & Voting Request CNY $100.00 per stock per request

**Note: All fees and charges are subject to revision without prior notice.**

1 Jun 2022

MIB Securities (Hong Kong) Limited

**費用及收費**

**適用於在深港通證券交易有關的服務**

(交收 : T+1交收期限)

**服務** 辦理費用

**(A) 證券交易服務**

1. 經紀佣金 (電話交易) 0.25% (最低收費人民幣100元)

2. 經紀佣金 (網上交易) 0. 15% (最低收費人民幣50元)

\*詳情請與經紀聯絡

3. 經手費 雙邊成交金額0.00487% (ETF 0.004%)

4. 證管費 雙邊成交金額0.002% (ETF 豁免)

5. 過戶費 0.001%根據中國結算的深港通規則支付 (ETF 豁免)；及0.002%根據中央結算系統一般規則支付。

6. 交易印花稅 只收賣方成交金額0.1%

 收費計至最接近的仙位數 (ETF 豁免)

**(B) 處理實物股票及交收的服務**

1. 交收指示費 每隻股票每宗交易之0.01%市值 (最低收費人民幣200元）

2. 強制性補購股份費 交收日市值的10% (最高收費200,000.00港元)

**(C) 代理人服務及企業行動**

1. 代收現金股息費 股息金額之0.3%

2. 代收股票股息費 每股30.00人民幣

3. 代收紅股費 每股30.00人民幣

4. 辦理代收現金股息費 最低收費人民幣30元

5. 代收供股權收費 無

6. 代客行使供股/額外供股權費 每宗交易每隻股票

7. 申請額外供股權費 每宗交易100.00人民幣

8. 代客行使認股證費 每宗交易100.00人民幣

9. 在收購要約下提交股份費 每宗交易100.00人民幣

10. 辦理股份合併 / 分拆費 無

11. 組合費 收市日市值之港幣等值 X 0.008% / 365

12. 代履行投票服務 每隻股票100.00人民幣

註：如中、英文版本有任何分歧，概以英文版本為準。二零二二年六月一日

馬銀證券(香港)有限公司