

Fees and Charges

on services concerning securities traded on The Shenzhen-Hong Kong Stock Connect

(Settlement Date: T+1)

SERVICE

HANDLING CHARGE

(A) Trade-related services

| | |
|-----------------------------------|--|
| 1. Brokerage Commission (Offline) | 0.25% (Min CNY\$100) |
| 2. Brokerage Commission (Online) | 0.15% (Min CNY\$50) |
| | <i>*Please contact your AE for detail.</i> |
| 3. Handling Fee | 0.00341% of the consideration of a transaction per side (0.004% for ETF) |
| 4. Securities Management Fee | 0.00200% of the consideration of a transaction per side (waived for ETF) |
| 5. Transfer Fee | 0.001% on consideration of each transaction per side payable under ChinaClear's SZ-HK Stock Connect Rules (waived for ETF) |
| | 0.002% on consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing services by HKSCC |
| 6. Stamp Duty | 0.05% of the consideration of a transaction on the seller (waived for ETF) |

(B) Nominee Services and Corporate Actions

| | |
|--|--|
| 1. Stock Settlement Instruction (SI) | 0.01% market value per stock per transaction (Min CNY\$200) |
| 2. Compulsory Share Buy-back Fee (Default Fee) | 1% on market value of settlement date (maximum HK\$200,000.00) |

(C) Nominee Services and Corporate Actions

| | |
|--|---|
| 1. Collection Fee of Cash Dividend | 0.3% of net dividend |
| 2. Collection Fee of Scrip Dividend | CNY\$30.00 per stock |
| 3. Collection Fee of Bonus Issue | CNY\$30.00 per stock |
| 4. Handling Fee for Collection of Cash Dividend | Min CNY\$30.00 |
| 5. Rights Issue Entitlement Charge | NIL |
| 6. Fee for Rights / Excess Rights Exercise | CNY\$100.00 per transaction |
| 7. Fee for Excess Rights Application | CNY \$100.00 per transaction |
| 8. Fee for Warrants Exercise | CNY \$100.00 per transaction |
| 9. Fee for Tendering Shares under a Takeover Bid | CNY \$100.00 per transaction |
| 10. Fee for Handling Share Consolidation / Split | NIL |
| 11. Portfolio Fee | [Closing price of SSE Securities of the day x number of shares] converted into Hong Kong Dollar Equivalent x 0.008% / 365 |
| 12. Proxy & Voting Request | CNY \$100.00 per stock per request |

Note: All fees and charges are subject to revision without prior notice.

28 Aug 2023
MIB Securities (Hong Kong) Limited

費用及收費

適用於在深港通證券交易有關的服務

(交收：T+1交收期限)

| 服務 | 辦理費用 |
|-------------------------|--|
| (A) 證券交易服務 | |
| 1. 經紀佣金 (電話交易) | 0.25% (最低收費人民幣100元) |
| 2. 經紀佣金 (網上交易) | 0.15% (最低收費人民幣50元) |
| | *詳情請與經紀聯絡 |
| 3. 經手費 | 雙邊成交金額0.00341% (ETF 0.004%) |
| 4. 證管費 | 雙邊成交金額0.002% (ETF 豁免) |
| 5. 過戶費 | 0.001%根據中國結算的深港通規則支付 (ETF 豁免)；及0.002%根據中央結算系統一般規則支付。 |
| 6. 交易印花稅 | 只收賣方成交金額0.05% |
| | 收費計至最接近的仙位數 (ETF 豁免) |
| (B) 處理實物股票及交收的服務 | |
| 1. 交收指示費 | 每隻股票每宗交易之0.01%市值 (最低收費人民幣200元) |
| 2. 強制性補購股份費 | 交收日市值的10% (最高收費200,000.00港元) |
| (C) 代理人服務及企業行動 | |
| 1. 代收現金股息費 | 股息金額之0.3% |
| 2. 代收股票股息費 | 每股30.00人民幣 |
| 3. 代收紅股費 | 每股30.00人民幣 |
| 4. 辦理代收現金股息費 | 最低收費人民幣30元 |
| 5. 代收供股權收費 | 無 |
| 6. 代客行使供股/額外供股權費 | 每宗交易每隻股票 |
| 7. 申請額外供股權費 | 每宗交易100.00人民幣 |
| 8. 代客行使認股證費 | 每宗交易100.00人民幣 |
| 9. 在收購要約下提交股份費 | 每宗交易100.00人民幣 |
| 10. 辦理股份合併 / 分拆費 | 無 |
| 11. 組合費 | 收市日市值之港幣等值 X 0.008% / 365 |
| 12. 代履行投票服務 | 每隻股票100.00人民幣 |

註：如中、英文版本有任何分歧，概以英文版本為準。

二零二三年八月廿八日

馬銀證券(香港)有限公司